

## Factors influencing resilience to postoperative delirium in adults undergoing elective orthopaedic surgery

Bowman, E. M. L., Cardwell, C., McAuley, D. F., McGuinness, B., Passmore, A. P., Beverland, D., Zetterberg, H., Schott, J. M., & Cunningham, E. L. (2022). Factors influencing resilience to postoperative delirium in adults undergoing elective orthopaedic surgery. *British Journal of Surgery*. https://doi.org/10.1093/bjs/znac197

#### Published in:

British Journal of Surgery

**Document Version:** Publisher's PDF, also known as Version of record

#### Queen's University Belfast - Research Portal:

Link to publication record in Queen's University Belfast Research Portal

#### Publisher rights

© The Author(s) 2022. Published by Oxford University Press on behalf of BJS Society Ltd This is an open access Creative Commons Attribution-NonCommercial License (https://creativecommons.org/licenses/by-nc/4.0/), which permits use, distribution and reproduction for non-commercial purposes, provided the author and source are cited.

#### General rights

Copyright for the publications made accessible via the Queen's University Belfast Research Portal is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

#### Take down policy

The Research Portal is Queen's institutional repository that provides access to Queen's research output. Every effort has been made to ensure that content in the Research Portal does not infringe any person's rights, or applicable UK laws. If you discover content in the Research Portal that you believe breaches copyright or violates any law, please contact openaccess@qub.ac.uk.



# Factors influencing resilience to postoperative delirium in adults undergoing elective orthopaedic surgery

Emily M.L. Bowman<sup>1,\*</sup> (D), Christopher Cardwell<sup>1</sup>, Daniel F. McAuley<sup>2</sup>, Bernadette McGuinness<sup>1</sup>, Anthony P. Passmore<sup>1</sup>, David Beverland<sup>3</sup>, Henrik Zetterberg<sup>4,5,6,7</sup> (D), Jonathan M. Schott<sup>8</sup> (D) and Emma L. Cunningham<sup>1</sup>

<sup>1</sup>Centre for Public Health, Queen's University Belfast, Institute of Clinical Sciences, Belfast, Northern Ireland

<sup>2</sup>Centre for Experimental Medicine, Queen's University Belfast, Wellcome-Wolfson Institute for Experimental Medicine, Belfast, Northern Ireland

<sup>3</sup>Outcomes Assessment Unit, Musgrave Park Hospital, Belfast Trust, Belfast, Northern Ireland

<sup>4</sup>UK Dementia Research Institute at UCL, London, UK

<sup>5</sup>Department of Neurodegenerative Disease, National Hospital for Neurology and Neurosurgery, UCL Institute of Neurology, London, UK

<sup>6</sup>Clinical Neurochemistry Laboratory, Sahlgrenska University Hospital, Mölndal, Sweden

<sup>7</sup>Department of Psychiatry and Neurochemistry, Institute of Neuroscience and Physiology, the Sahlgrenska Academy at the University of Gothenburg, Mölndal, Sweden

<sup>8</sup>Dementia Research Centre, Department of Neurodegenerative Disease, National Hospital for Neurology and Neurosurgery, UCL Institute of Neurology, London, UK

\*Correspondence to: Emily M.L. Bowman, Centre for Public Health, Queen's University Belfast, Institute of Clinical Sciences, Block B, Royal Victoria Hospital site, Grosvenor Road, Belfast BT12 6BA, Northern Ireland (e-mail: ebowman01@qub.ac.uk)

#### Introduction

Delirium occurs after elective arthroplasty in 17 per cent of adults<sup>1</sup>, and is associated with poor outcomes, including cognitive decline<sup>2</sup>, dementia<sup>3,4</sup>, and death<sup>5</sup>. Predisposing and precipitating risk factors accumulate and interact to precipitate delirium<sup>6</sup>. Much of the current literature analyses delirium as a dichotomous outcome, inevitably placing many people with symptoms of delirium, but falling short of a diagnosis, into the no-delirium group. Freedom from delirium symptoms should be investigated as an outcome. As evidence accumulates that delirium symptoms can also be associated with negative outcomes, it is important to identify the resilient groups in these studies and establish modifiable resilience predictors. Studies have explored risk factors for postoperative delirium; however, none to date has defined or considered delirium resilience as an outcome or phenotype. Resilience may be broadly defined as 'the ability to withstand or recover quickly from difficult conditions'<sup>7,8</sup>. The aim of this study was to identify predictors of delirium resilience in the perioperative setting.

### Methods

#### Study population

As previously reported<sup>9,10</sup>, this observational cohort study recruited participants aged 65 years and over (without a diagnosis of dementia) due to undergo elective primary hip or knee replacement under spinal anaesthetic between March 2012 and October 2014. The study was performed in accordance with local ethics committee procedures, and all participants gave informed written consent (REC reference: 10/NIR01/5; protocol number: 09069PP-OPMS). Baseline demographic data, cognitive performance, and perioperative details were collected as previously described<sup>9,10</sup>. Patients were assessed for delirium once daily for the first three postoperative days using the Confusion Assessment Method (CAM)<sup>11</sup>, supported by the Mini Mental State Examination (MMSE)<sup>12</sup>, and nursing staff interviews. Postdischarge nursing and medical notes were interrogated where possible. Cerebrospinal fluid (CSF) and blood plasma samples were collected immediately preoperatively, as previously described<sup>9,10</sup>. Apolipoprotein E (APOE  $\epsilon$ 4) status and CSF biomarkers were analysed as described previously<sup>13</sup> but were not analysed statistically in the context of this paper<sup>9,10</sup>.

#### Statistical analysis

#### Selection of resilient and non-resilient groups

Two hundred and ninety-two participants with a preoperative MMSE score of 24 or more were included in this analysis, to prevent the inclusion of patients with undiagnosed dementia. Participants were categorized into 'resilient' or 'non-resilient' groups based on their postoperative MMSE and CAM scores. Delirium resilience was defined as a preoperative MMSE score of 24 or more, which did not subsequently decrease, maintaining or increasing original scores across all MMSE components, and not fulfilling any of the core CAM criteria, including acuity, inattention, altered level of consciousness, or disorganized thinking, during the first three postoperative days. An exception was made for the loss of one MMSE point in orientation, owing to the high frequency of ward movement during data collection.

#### Logistic regression

The preclinical covariates included in this analysis are summarized in *Table 1*. Logistic regression was carried out with resilience as the dependent variable. Variables were included based on statistical or clinical significance. The following independent variables were significant at the 5 per cent level in univariable analysis and included in the model: age; type of

Received: February 23, 2022. Revised: April 15, 2022. Accepted: May 13, 2022

@ The Author(s) 2022. Published by Oxford University Press on behalf of BJS Society Ltd.

This is an Open Access article distributed under the terms of the Creative Commons Attribution-NonCommercial License (https://creativecommons.org/ licenses/by-nc/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is properly cited. For commercial re-use, please contact journals.permissions@oup.com

#### Table 1 Baseline characteristics for the whole cohort, the resilient group and non-resilient group

Mean (s.d.) age (years) Sex Male Female Type of surgery Hip Knee Median (i.q.r.) years in education	73 (5.668)range 65–92 124 (42) 168 (58) 148 (51) 144 (49)	72 (5.301) 34 (44) 44 (56)	74 (5.657) 90 (42) 124 (58)	0.001* 0.815†
Male Female <b>Type of surgery</b> Hip Knee	168 (58) 148 (51) 144 (49)	44 (56)		0.815†
Female <b>Type of surgery</b> Hip Knee	168 (58) 148 (51) 144 (49)	44 (56)		
<b>Type of surgery</b> Hip Knee	148 (51) 144 (49)		124 (58)	
Hip Knee	144 (49)	47 (60)	V /	
Knee	144 (49)			0.048†
		47 (60) 31 (40)	101 (47) 113 (53)	
(n = 289)	11.00(10.00–13.00)	12.00(11.0–14.00)	11.00(10.00–12.00)	<0.001‡
CCI (n = 284)				0.278‡
0	155 (55)	45 (59)	110 (53)	
1	85 (30)	22 (29)	63 (30)	
2	27 (10)	5 (7)	22 (11)	
3/4/5	13/3/1 (5)	4/0/0 (5)	9/3/1 (6)	
Median (i.q.r.) anticholinergic burden- median ( $n = 271$ )	1.00(0.00-2.00)	1.00(0.00-1.00)	1.00(0.00-2.00)	0.081‡
Median (i.q.r.) GDS $(n = 227)$	2.00(1.00-4.00)	1.00(0.50-2.00)	2.00(1.00-4.00)	<0.001‡
Median (i.q.r.) VVAS, pain at rest $(n = 290)$	27.00(7.00–55.25)	30.00(10.00–53.00)	25.00(7.00–56.00)	0.939‡
Median (i.q.r.) VVAS, pain with movement ( $n = 290$ )	75.50(55.00–89.00)	73.00(52.50–88.75)	76.00(57.00–89.00)	0.399‡
Mean (s.d.) NART mean ( $n = 289$ )	28.40(11.166)	34.53(9.634)	26.21(10.875)	<0.001*
Median (i.q.r.) alcohol (units/ week) $(n = 290)$	0.00(0.00-4.00)	0(0.00–8.00)́	0.00(0.00–2.00)	0.051‡
Smoking Status ( $n = 289$ )				0.335†
Current smoker	20 (7)	8 (10)	12 (5)	
Ex-smoker	72 (25)	20 (26)	53 (25)	
Non-smoker	197 (68)	50 (64)	149 (70)	
Mean (s.d.) preoperative MMSE $(n = 267)$	27.70(1.710)	28.58(1.607)	27.37(1.634)	<0.001*
Mean (s.d.) preoperative Colour Trails 2 Score (n = 285)	151.59(67.245)	126.56(56.889)	160.86(68.529)	<0.001*
Mean (s.d.) preoperative number of medications ( $n = 257$ )	0.43(1.784)	0.31(1.17)	0.47(1.95)	0.538*
Presence of APOE $\epsilon 4$ (n = 289)	95(22.6% Heterozygote, 5% Homozygote)	16(19.23% Heterozygote, 1.28% Homozygote)	56(24.17% Heterozygote, 1.90% Homozygote)	0.617†
Mean (s.d.) CSF AB142 (n = 261)	610.75(194.50)	621.45(169.77)	606.75(203.24)	0.588*
Mean (s.d.) CSF p-tau ( $n = 261$ )	54.82(19.12)	50.53(16.94)	56.42(19.68)	0.027*
Mean (s.d.) CSF t-tau $(n = 258)$	313.79(150.40)	275.52(116.90)	328.04(159.04)	0.012*
Mean (s.d.) Qalb ( $n = 224$ )	5.83(2.62)	5.92(2.40)	5.80(2.71)	0.756*
General anaesthetic (%) $(n = 217)$	6.00	6.56	6.12	0.826†
Mean (s.d.) minimum SBP D0 (n = 209)	100(13.48)	102(14.23)	100(13.17)	0.275*
Mean (s.d.) minimum SBP D1 $(n=212)$	99(12.28)	98(12.80)	99(12.10)	0.453*
Median (i.q.r.) total morphine equivalents D0 ( $n = 197$ )	7.58(0.00–11.36)	3.79(0.00–7.58)	7.58(0.00–13.58)	0.013‡
Median (i.q.r.) total morphine equivalents D1 ( $n = 197$ )	22.00(13.43-33.24)	16.00(7.60–30.40)	25.20(15.20-34.09)	0.377‡
Diclofenac (%) $(n = 211)$	9.95	10.34	9.80	0.907†
Diabetes (%) $(n = 273)$	13.19	8.70	14.71	0.202†
Hypertension (%) $(n = 273)$	61.51	58.90	62.44	0.594†

Values are n (%) unless otherwise indicated. Years in education assumed school starting age of 4 years. Alcohol units per week were estimated using the calculator at www.drinkaware.co.uk. Smoking status was recorded as current, ex-smoker, or non-smoker. Anticholinergic burden was calculated using the Ageing Brain Care tool at www.agingbraincare.org. \*Student's t test.  $\pm\chi^2$  test.  $\pm$ Mann–Whitney U test. s.d., standard deviation; i.q.r., interquartile range; CCI, Charlson Comorbidity Index; GDS, Geriatric Depression Scale; VVAS, Vertical Visual Analgue Pain Score; NART, National Adult Reading Test; MMSE, Mini Mental State Examination; APOE  $\epsilon 4$ , apolipoprotein E; CSF, cerebrospinal fluid; p-tau, phosphorylated tau; t-tau, total tau; Qalb, CSF to plasma albumin ratio; SBP, systolic blood pressure.

surgery; years in education; National Adult Reading Test (NART); Colour Trails 2; alcohol consumption; and CSF T-tau. Variables that were not statistically significant at this level but that were classed as clinically significant were also included: sex; Charlson Comorbidity Index; anticholinergic burden; Vertical Visual Analogue Pain Score (VVAS) for pain on movement; CSF A $\beta$ 1-42 concentration; and APOE  $\epsilon$ 4status. Several statistically or clinically significant variables were excluded owing to their correlation with other variables, or the low number of participants with available data. Analysis was performed using SPSS for Windows version 26 (IBM, Armonk, NY, USA). Methods and results are presented in accordance with STROBE guidance<sup>14</sup>, where possible.

#### Results

Baseline characteristics are displayed in *Table 1*. Of the 292 participants included, 78 were categorized as resilient and 214 as non-resilient. The number of individuals included in the logistic regression analysis was less than the total number of

Table 2 Results of binary	le gietie ve gweedie w	an almaia miti	بدميره مرمونه مرابع	mundistana .		a tha muadiatau.	$(1 - 1)^{1} = (1 - 1)^{1}$
Table 2 Results of Dinary	logistic regression	anaivsis wit	n maebenaent	breakciors, t	ising resilience a	s the breaktor '	variable $(n = 224)$
· · · · · · · · · · · · · · · · · · ·	0 0		· · · · · · · · · · · ·	r,.		· · · <b>F</b> · · · · · ·	

Variable	Proportion resilient (n/N)	Adjusted* OR (95% c.i.)	<b>P value</b> 0.009
Age (per year increase)	78/292	0.899 (0.829–0.974)	
Sex			0.976
Male	34/124	1.00 ref. category	
Female	44/168	1.012 (0.462-2.219)	
Surgery type			0.212
Hip surgery	47/148	1.00 ref. category	
Knee surgery	31/144	0.638 (0.315–1.293)	
Duration of education (per year increase)	77/289	1.136 (0.948–1.360)	0.168
CCI (per point increase)	76/284	1.226 (0.836–1.796)	0.297
Alcohol intake (per units/week increase)	77/290	0.994 (0.948–1.042)	0.797
NART (per unit increase)	76/289	1.065 (1.023–1.110)	0.002
VVAS on movement (per unit increase)	78/290	0.978 (0.961–0.995)	0.011
Preoperative Colour Trails 2 Score	77/285	0.991 (0.982–1.000)	0.055
ACB (per unit increase)	68/271	0.929 (0.705–1.224)	0.601
Aβ1-42 concentration	71/261	1.001 (0.999–1.003)	0.403
T-tau concentration	70/258	0.996 (0.992–1.000)́	0.031
APOE $\epsilon$ 4 Presence	78/289	0.850 (0.357–2.023)	0.713

\*Model contains age at surgery, sex, hip or knee surgery, duration of education, Charlson Comorbidity Index (CCI), alcohol intake, National Audit Reading Test (NART) score, Vertical Visual Analogue Pain Score (VVAS) pain on movement, Preoperative Colour Trails 2 score, anticholinergic burden (ACB), Aβ1-42 concentration, T-tau concentration, and presence of APOE ε4. n/N, the number of people in the resilient category out of the total number of participants with data for this variable; OR, odds ratio; c.i., confidence interval; APOE ε4, apolipoprotein E; T-tau, total tau; Ref. category, reference category.

study participants owing to missing data in certain variables. Of the 197 non-resilient individuals included in the logistic regression, 17 were delirious by CAM. The results of logistic regression analysis with resilience as the dependent variable are shown in *Table 2*. Age, NART score, VVAS pain on movement, and T-tau concentration were independent predictors of resilience to delirium in this cohort. The odds of being delirium-resilient reduced by 10 per cent (odds ratio (OR) 0.899) for each year increase in age, reduced by 2 per cent (OR 0.978) for each unit increase in VVAS score, and reduced by 0.4 per cent (OR 0.996) for each 10 ng/l increase in CSF t-tau concentration. Conversely, each unit increase in NART score increased the odds of resilience by 7 per cent (OR 1.065).

#### Discussion

Younger age, higher NART score, lower preoperative pain score on movement, and lower concentration of CSF T-tau were independently associated with delirium resilience. Oldham et al. describe 'pro-cognitive factors' as baseline biopsychosocial factors that promote healthy cognitive function and predict delirium vulnerability<sup>15,16</sup>. Some participants were missing MMSE data in the current study, so a complete case analysis was conducted owing to concerns that multiple imputation may not be valid. The exclusion of some clinically important variables from the logistic regression model due to their correlation with other included variables reduced risk of skewing results but reduced the power of our analyses to detect true between-group differences. Devising the logistic regression model using both statistically and clinically significant variables may have also reduced the power of our analysis. The ceiling effect may provide limitation to our method of defining resilience. Those with high education levels or high preoperative MMSE score may experience undetected but meaningful cognitive decline. Higher late-life cognitive reserve is associated with reduced postoperative delirium incidence and severity<sup>17</sup>. Some people without delirium symptoms may have been placed into the non-resilient group as a result of using MMSE scores to define groups. Given the historical inclusion of people with delirium symptoms in control groups, we felt this was an appropriate risk. Further work will clarify consistent predictors of resilience.

#### Funding

This work was funded by the Siew Keok Chin Scholarship, the Belfast Arthroplasty Research Trust (now TORCNI), and Belfast Trust Charitable Funds. E.L.C. has received grant funding from Alzheimer's Research UK. D.F.M. has received grant funding from the NIHR RfPB programme for delirium research. E.M.L.B. is a PhD student at Queen's University Belfast funded by the Department for the Economy (DfE). H.Z. is a Wallenberg Scholar supported by grants from the Swedish Research Council (#2018-02532); the European Research Council (#681712); Swedish State Support for Clinical Research (#ALFGBG-720931); the Alzheimer Drug Discovery Foundation (ADDF), USA (#201809-2016862); the AD Strategic Fund and the Alzheimer's Association (#ADSF-21-831376-C, #ADSF-21-831381-C and #ADSF-21-831377-C); the Olav Thon Foundation; the Erling-Persson Family Foundation; Stiftelsen för Gamla Tjänarinnor, Hjärnfonden, Sweden (#FO2019-0228); the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 860197 (MIRIADE); and the UK Dementia Research Institute at UCL.

#### Acknowledgements

E.M.L.B.: analysis and interpretation of data and drafting of manuscript; C.C.: analysis and interpretation of data, and drafting of manuscript; D.F.M.: conception and design of study, and revision of manuscript; B.M.: conception and design of study, and revision of manuscript; A.P.P.: conception and design of study, data acquisition, and revision of manuscript; D.B.: conception and design of study, data acquisition and revision of manuscript; J.M.S.: data acquisition and revision of manuscript; E.L.C.: conception and design of the study, data acquisition, analysis and interpretation of data, revision of manuscript, and guarantor. The study was performed in accordance with local ethical committee procedures and all participants gave informed written consent (REC reference: 10/NIR01/5; protocol

number: 09069PP-OPMS). HZ is a Wallenberg Scholar supported by grants from the Swedish Research Council (#2018-02532), the European Research Council (#681712), Swedish State Support for Clinical Research (#ALFGBG-720931), the Alzheimer Drug Discovery Foundation (ADDF), USA (#201809-2016862), the AD Strategic Fund and the Alzheimer's Association (#ADSF-21-831376-C, #ADSF-21-831381-C and #ADSF-21-831377-C), the Olav Thon Foundation, the Erling-Persson Family Foundation, Stiftelsen för Gamla Tjänarinnor, Hjärnfonden, Sweden (#FO2019-0228), the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 860197 (MIRIADE), and the UK Dementia Research Institute at UCL. JMS acknowledges the support of the National Institute for Health Research University College London Hospitals Biomedical Research Centre, Wolfson Foundation, Alzheimer's Research UK, Brain Research UK, Weston Brain Institute, Medical Research Council, British Heart Foundation, UK Dementia Research Institute and Alzheimer's Association.

Disclosure. HZ has served at scientific advisory boards for Eisai, Denali, Roche Diagnostics, Wave, Samumed, Siemens Healthineers, Pinteon Therapeutics, Nervgen, AZTherapies and CogRx, has given lectures in symposia sponsored by Cellectricon, Fujirebio, Alzecure and Biogen, and is a co-founder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program (outside submitted work).

#### References

- Scott JE, Mathias JL, Kneebone AC. Incidence of delirium following total joint replacement in older adults: a meta-analysis. Gen Hosp Psychiatry 2015;37:223–229
- Bickel H, Gradinger R, Kochs E, Förstl H. High risk of cognitive and functional decline after postoperative delirium. Dement Geriatr Cogn Disord 2008;26:26–31
- Witlox J, Eurelings LSM, de Jonghe JFM, Kalisvaart KJ, Eikelenboom P, van Gool WA. Delirium in elderly patients and the risk of postdischarge mortality, institutionalization, and dementia: a meta-analysis. JAMA 2010;**304**:443–451
- Hamilton GM, Wheeler K, Di Michele J, Lalu MM, McIsaac DI. A systematic review and meta-analysis examining the impact of incident postoperative delirium on mortality. *Anesthesiology* 2017;**127**:78–88
- Kat MG, Vreeswijk R, de Jonghe JFM, van der Ploeg T, van Gool WA, Eikelenboom P et al. Long-term cognitive outcome of delirium in elderly hip surgery patients. A prospective

matched controlled study over two and a half years. Dement Geriatr Cogn Disord 2008;**26**:1–8

- Ahmed S, Leurent B, Sampson EL. Risk factors for incident delirium among older people in acute hospital medical units: a systematic review and meta-analysis. Age Ageing 2014;43: 326–333
- Fletcher D, Sarkar M. Psychological resilience. Eur Psychol 2013; 18:12–23
- Robertson IT, Cooper CL, Sarkar M, Curran T. Resilience training in the workplace from 2003 to 2014: a systematic review. J Occup Organ Psychol 2015;88:533–562
- Cunningham EL, McGuinness B, McAuley DF, Toombs J, Mawhinney T, O'Brien S et al. CSF beta-amyloid 1-42 concentration predicts delirium following elective arthroplasty surgery in an observational cohort study. Ann Surg 2019;269: 1200–1205
- Cunningham EL, Mawhinney T, Beverland D, O'Brien S, McAuley DF, Cairns R et al. Observational cohort study examining apolipoprotein E status and preoperative neuropsychological performance as predictors of post-operative delirium in an older elective arthroplasty population. Age Ageing 2017;46:779–786
- Inouye SK, van Dyck CH, Alessi CA, Balkin S, Siegal AP, Horwitz RI. Clarifying confusion: the confusion assessment method. A new method for detection of delirium. Ann Intern Med 1990; 113:941–948
- Folstein MF, Folstein SE, McHugh PR. "Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. J Psychiatr Res 1975;12:189–198
- Pan X, Cunningham EL, Passmore AP, McGuinness B, McAuley DF, Beverland D et al. Cerebrospinal fluid spermidine, glutamine and putrescine predict postoperative delirium following elective orthopaedic surgery. Sci Rep 2019;**9**:4191
- von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP et al. Strengthening the reporting of observational studies in epidemiology (STROBE) statement: guidelines for reporting observational studies. BMJ 2007;335: 806–808
- Oldham MA, Flaherty JH, Maldonado JR. Refining delirium: a transtheoretical model of delirium disorder with preliminary neurophysiologic subtypes. Am J Geriatr Psychiatry 2018;26: 913–924
- Oldham MA. Delirium disorder: unity in diversity. Gen Hosp Psychiatry 2022;74:32–38
- Tow A, Holtzer R, Wang C, Sharan A, Kim SJ, Gladstein A et al. Cognitive reserve and postoperative delirium in older adults. J Am Geriatr Soc 2016;64:1341–1346